



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki IWAMOTO Examiner: Unassigned
Serial No.: 09/729,577 Group Art Unit: 2661
Filed: Dec. 4, 2000 Docket: 14117
For: ATM SWITCH WITH OAM FUNCTIONS
Dated: October 3, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

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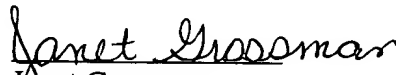
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 8-331131, dated December 13, 1996;
2. Japanese Laid-Open Patent Publication No. 9-191312, dated July 22, 1997;
3. Japanese Laid-Open Patent Publication No. 8-237256, dated September 13, 1996;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on October 3, 2002.

Dated: October 3, 2002


Janet Grossman

4. Japanese Laid-Open Patent Publication No. 8-237267, dated September 13, 1996;
5. Japanese Laid-Open Patent Publication No. 5-30128, dated February 5, 1993; and
6. Japanese Laid-Open Patent Publication No. 11-355314, dated December 24, 1999.

The references were cited in an Official Action dated August 20, 2002, received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.
Registration No. 30,749

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

OCT 07 2002 Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14117

In Re Application Of: **Hiroyuki IWAMOTO**

Serial No.

09/729,577

Filing Date

Dec. 4, 2000

Examiner

Unassigned

Group Art Unit

2661

Title: ATM SWITCH WITH OAM FUNCTIONS

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**Assistant Commissioner for Patents
Washington, D.C. 20231**

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14117

In Re Application Of **Hiroyuki IWAMOTO**

Serial No.

09/729,577

Filing Date

Dec. 4, 2000

Examiner

Unassigned

Group Art Unit

2661

Title: **ATM SWITCH WITH OAM FUNCTIONS**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on October 3, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Grossman
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

[Signature]

Signature

Dated: October 3, 2002

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CC: